

• Método variacional.

Ref.: Sec. 18.1, Messich

considerar, e.g., o problema de autovalores para um sistema 1-D

$$-\frac{\hbar^2}{2m} \frac{d^2\psi(x)}{dx^2} + V(x)\psi(x) = E\psi(x) \quad (85.1)$$

Hipótese:  $\exists$  funcional  $Q[\psi]$  tal que

$$SQ[\psi] = 0 \Leftrightarrow Eq.(85.1), \quad (85.2)$$

i.e., a condição  $Q[\psi]$  estacionária é equivalente ao problema de autovalores (85.1)

considerar: sol. Eq. (85.1)  $\psi(x) \in \tilde{\mathcal{V}}$ : espaço de funções  
 $\Leftrightarrow \Phi(x) \in \tilde{\mathcal{V}}' \subset \tilde{\mathcal{V}}$ ; i.e.,  $\tilde{\mathcal{V}}'$ : subespaço  $\tilde{\mathcal{V}}$ ;

$\hookrightarrow$  ideia método variacional de Ritz: determinar  $\Phi(x)$  tal que  
 $SQ[\Phi] = 0$ ;

como  $\Phi \in \tilde{\mathcal{V}}'$ : subespaço soluções (85.1)

$\hookrightarrow$  solução aproximada do problema de autovalores (85.1)!

em particular, se  $\Phi = \Phi(x, a, b, c)$ ;  $a, b, c$ : parâmetros contínuos;

$Q[\Phi(x, a, b, c)] = q(a, b, c)$ : função de  $a, b, c$

$\Leftrightarrow q(a_0, b_0, c_0)$ : extremo função  $q(a, b, c)$

$\hookrightarrow \Phi_0(x, a_0, b_0, c_0)$ : solução aproximada Eq. (85.1).

consideram:  $\psi_0(x)$ : solução exata Eq. (85.3)

$\phi_0(x)$ : "aproximada"

e  $\delta\psi = \phi_0 - \psi_0$ : variação; temos que

$$S\mathcal{Q}[\psi_0] = \mathcal{Q}[\psi_0 + \delta\psi] - \mathcal{Q}[\psi_0] = \mathcal{Q}[\phi_0] - \mathcal{Q}[\psi_0]$$

$$= \int dx \frac{S\mathcal{Q}}{\delta\psi} \delta\psi(x) + \underbrace{\int dx dx' \frac{S^2\mathcal{Q}}{\delta\psi(x)\delta\psi(x')} \delta\psi(x)\delta\psi(x')}_{=0} + \dots$$

= 0: condição  $\mathcal{Q}[\psi_0]$  estacionário

i.e.  $\mathcal{Q}[\phi_0] - \mathcal{Q}[\psi_0]$ : 2ª ordem variação  $\delta\psi$ !

método variacional p/ estados ligados,

inicial: dois resultados importantes,

(i) Teorema: seja  $H$ : hamiltoniano sistema quântico e

$$E[\psi] = \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle} : \text{valor esperado energia do sistema : função de } \psi \quad (86.1)$$

$$\text{se } \psi_0 \text{ é tal que } S E[\psi_0] = 0 \Leftrightarrow H|\psi_0\rangle = E|\psi_0\rangle \Leftrightarrow E = E[\psi_0]$$

Dem.: temos que,

$$SE = S \left( \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle} \right) = \frac{S(\langle \psi | H | \psi \rangle)}{\langle \psi | \psi \rangle} - \frac{\langle \psi | H | \psi \rangle S(\langle \psi | \psi \rangle)}{\langle \psi | \psi \rangle^2}$$

$$\hookrightarrow \langle \psi | \psi \rangle^2 SE = \langle \psi | \psi \rangle \left( \langle S\psi | H | \psi \rangle + \langle \psi | H | S\psi \rangle \right)$$

$$- \langle \psi | H | \psi \rangle \left( \langle S\psi | \psi \rangle + \langle \psi | S\psi \rangle \right)$$

$$\underbrace{E}_{E[\psi]}$$

$$\hookrightarrow \langle \psi | \psi \rangle S E = \langle S \psi | H - E | \psi \rangle + \langle \psi | H - E | S \psi \rangle$$

como  $0 < \langle \psi | \psi \rangle < +\infty$ , a condição  $S E = 0$  é equivalente a

$$\langle S \psi | H - E | \psi \rangle + \langle \psi | H - E | S \psi \rangle = 0 \quad (87.3)$$

$$\text{como } \langle \psi | \psi \rangle = \text{cte} \rightarrow \langle S \psi | \psi \rangle + \langle \psi | S \psi \rangle = 0 : \langle S \psi \rangle \text{ e } \langle S \psi |$$

não são, em princípio, independentes;

entendendo, considerando  $\langle S \psi \rangle$  e  $\langle S \psi |$  independentes

~ consideram partes  $R_E$  e  $I_E$  separadamente  $\rightarrow$  Eq. (87.3) OK

$$\text{se } \langle S \psi | H - E | \psi \rangle = \langle \psi | H - E | S \psi \rangle = 0$$

$$\hookrightarrow (H - E) | \psi \rangle = 0 \text{ e } \langle \psi | (H - E) = 0, \text{ pois } \langle S \psi \rangle \text{ e } \langle S \psi |$$

são arbitrários;

como  $H = H^\dagger$  e EGR  $\rightarrow$  as duas condições acima são equivalentes

$$\Leftrightarrow S E[\psi] = 0 \rightarrow H|\psi\rangle = E|\psi\rangle \quad (\text{IDA: OK})$$

$$(\text{VOLTA}) : \text{ se } H|\psi\rangle = E|\psi\rangle \rightarrow E\langle \psi | \psi \rangle = \langle \psi | H | \psi \rangle$$

$$\text{ou } E = \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle} = \text{cte} \rightarrow S E = S \left( \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle} \right) = 0.$$

(2) Lema: se  $|\psi\rangle$ : estado do sistema

$$\hookrightarrow E[\psi] \geq E_0 \quad (87.3)$$

$\uparrow$  energia estado fundamental

Dem.: consideram:  $H|n,i\rangle = E_n|n,i\rangle$ ;  $H$  espetro discontínuo;  
 $E_0 < E_1 < E_2 < \dots$

e  $P_n$ : projeto de subespaço autovetor  $E_n$ .

como  $\sum_n P_n = 1$  e  $H = \sum_n E_n P_n$ , temos que

$$H - E_0 = \sum_{n>0} E_n P_n - E_0 \sum_{n>0} P_n = \sum_{n>0} (E_n - E_0) P_n$$

$$\hookrightarrow E[\psi] - E_0 = \underbrace{\langle \psi | H - E_0 | \psi \rangle}_{\geq 0} = \sum_{n>0} (E_n - E_0) \underbrace{\langle \psi | P_n | \psi \rangle}_{\geq 0} \geq 0$$

sobre o método variacional,

considere:  $H|\psi\rangle = E|\psi\rangle$  e  $|\psi\rangle \in \mathcal{H}$ ; (88.1)

estado arbitrário  $|\phi\rangle \in \mathcal{H}' \subset \mathcal{H}$

e  $P$ : projeto de subespaço  $\mathcal{H}'$ :  $P|\phi\rangle = |\phi\rangle$ ; temos que

$$E[\phi] = \underbrace{\langle \phi | H | \phi \rangle}_{\langle \phi | \phi \rangle} = \underbrace{\langle \phi | P H P | \phi \rangle}_{\langle \phi | \phi \rangle} \equiv \underbrace{\langle \phi | H_P | \phi \rangle}_{\langle \phi | \phi \rangle}$$

notar:  $H_P = P H P$ : projeção  $H$  no subespaço  $\mathcal{H}'$

Teorema (1):  $\delta E[\phi] = 0 \Leftrightarrow H_P|\phi\rangle = E|\phi\rangle \Leftrightarrow E = E[\phi]$ ,

i.e., a determinação da solução aproximada  $|\phi\rangle$  é equivalente à solução do problema de autovalores (88.1) restrito ao subespaço  $\mathcal{H}'$ !

notar: se  $H = H_0 + V$ ;

$\mathcal{H}'$ : subespaço autovalor não perturbado  $E_0$

e  $\langle \phi | \phi \rangle = 1$ , temos que

$$E[\Phi] = \langle \Phi | P_H P | \Phi \rangle = \underbrace{\langle \Phi | P_H_0 P | \Phi \rangle}_{E_0} + \langle \Phi | P_V P | \Phi \rangle :$$

E<sub>0</sub>

(89.1)

: energia em 1ª ordem teoria da perturbação, Eq. (45.1)

Ex. 3: estado fundamental átomo H,

ideia: determinar variacionavelmente a função de onda do estado fundamental átomo H;

como os autoestados do hamiltoniano do sistema

são " dos ops.  $L^2$  e  $L_z$ , vamos considerar funções tentativas do tipo:

$$\Phi(\vec{r}) = \frac{1}{\sqrt{a_0^3}} \frac{1}{p} u(p) Y_e^m(\theta, \varphi) \quad (89.2)$$

onde:  $a_0 = \frac{\hbar^2}{me^2}$  : raio de Bohr  $\in \frac{p \cdot r}{a_0}$

$$\text{Lembrar que: } E_0 = \frac{1}{2} \left( \frac{e^2}{\hbar c} \right)^2 mc^2 = \frac{mc^4}{2\hbar^2} = \frac{1}{2} \frac{c^2}{a_0}$$

$E - E_0$  : energia estado fundamental átomo H

$$\text{notar: } \langle \Phi | \Phi \rangle = \int d\vec{r} |\Phi(\vec{r})|^2 =$$

$$= \frac{1}{a_0^3} \underbrace{\int n^2 d\vec{r} \frac{1}{p^2} |u(p)|^2}_{\int dp |u(p)|^2} \underbrace{\int d\Omega Y_e^m(\theta, \varphi)^* Y_e^m(\theta, \varphi)}_{1}$$

$$a_0^3 \int dp |u(p)|^2 = 1$$

$$\cdot \langle \Phi | H | \Phi \rangle = \int d^3r \Phi^*(\vec{r}) \langle \vec{r} | H | \Phi \rangle$$

como (veja Eq. (32.4), Herzbachen)

$$\langle \vec{r} | H | \Phi \rangle = -\frac{\hbar^2}{2m r^2} \partial_r (r^2 \partial_r \Phi) + \frac{l^2}{2m r^2} \Phi - \frac{e^2}{r} \Phi. \quad (90.1)$$

verifica-se que o funcional de energia assume a forma (exercício):

$$E[\Phi] = E[u] = -E_0 \int_0^\infty dp u^* \left( \frac{d^2}{dp^2} - \frac{e(e+1)}{p^2} + \frac{2}{p} \right) u \Bigg/ \int_0^\infty dp |u|^2 \quad (90.2)$$

consideram: estados-s ( $l=m=0$ ) e as seguintes funções radiais:

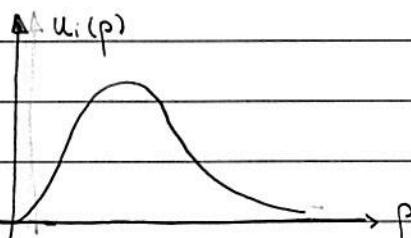
$$u_1(p, b) = p e^{-bp}; \quad u_2(p, b) = \frac{p}{b^2 + p^2}; \quad u_3(p) = p^2 e^{-bp} \quad (90.3)$$

notam:

(i)  $u_i(p, b)$  depende apenas parâmetro  $b$ ;

$$\hookrightarrow \text{Eq. (90.2)}: E[\Phi] \equiv f(b) \rightarrow \delta E = 0 \sim \frac{df}{db} = 0$$

(ii) qualitativamente:



: ou  $p_f$  é estado fundamental:

$u_i(p)$  não possui raízes  
 $\forall p > 0$ !

verifica-se que (p/ detalhes, veja tabela 18.3, Messich)

	$U_1$	$U_2$	$U_3$	
$b_{\text{min}}$	1	$\pi/4$	$3/2$	
$E_{\text{var}}$	$-E_0$	$-0.83 E_0$	$-0.75 E_0$	$\geq -E_0 : \text{OK Eq. (87.3)}$
$E$	0	0.21	0.05	

$$\text{onde } E = 1 - 1 < \psi_0 | \psi_{\text{var}} \rangle^2$$

Obs.: apesar funções radiais  $U_i(p)$  serem qualitativamente similares, elas apresentam comportamentos distintos  
 $p/ p \approx 0$  e  $p \gg 1$  (veja Fig. 18.3, Messich)  
 ↳ diferenças valentes  $E_{\text{var}}$ !

Ex. 2: estado fundamental do átomo He,

similar Ex. 1, pg. 10;

consideram: sistema - núcleo (massa  $m \rightarrow \infty$ ), carga  $Ze$   
 ① 2 elétrons;

hamiltoniano:

$$H = \frac{p_1^2}{2m} + \frac{p_2^2}{2m} - \frac{Ze_1^2}{r_1} - \frac{Ze_2^2}{r_2} + \frac{e^2}{r_{12}} \quad (93.1)$$

$$= T_1 + T_2 + V_1 + V_2 + V_{12}$$

onde  $\vec{r}_i$ : posição elétron  $i$ ;  $r_{12} = |\vec{r}_1 - \vec{r}_2|$

$\vec{p}_i$ : momento " "

ideia: determinar variacionamente a energia do estado fundamental.

consideram função de onda tentativa para estado fundamental:

$$\Phi_a(\vec{r}_1, \vec{r}_2) = \varphi_a(\vec{r}_1) \varphi_a(\vec{r}_2) = \frac{1}{\pi a^3} e^{-(r_1 + r_2)/a} \quad (92.3)$$

Eq. (92.3): similar ao estado não-perturbado (10.2), porém

$a \neq a_0$  e  $a$ : parâmetro variacional.

temos que:

$$\cdot \langle \Phi_a | \Phi_a \rangle = \int d^3r_1 d^3r_2 |\Phi(\vec{r}_1, \vec{r}_2)|^2 :$$

$$= \int d\Omega_1 d\Omega_2 \pi_1^2 \pi_2^2 \frac{1}{\pi^2 a^6} e^{-2(r_1 + r_2)/a} \int d\Omega_1 \int d\Omega_2$$

$$= \left( \frac{4\pi}{\pi a^3} \int_0^\infty d\pi_1 \pi_1^2 e^{-2\pi_1/a} \right)^2 = 1$$

$$a^3/4$$

$$\cdot \langle \Phi_a | H | \Phi_a \rangle = \sum_{i=1}^2 \langle \varphi_a | T_i | \varphi_a \rangle + \langle \varphi_a | V_i | \varphi_a \rangle + \langle \Phi_a | V_{s2} | \Phi_a \rangle ;$$

notar:

$$(i) \langle \varphi_a | p^2/2m | \varphi_a \rangle = \int d^3r \varphi_a^*(r) \langle \vec{r} | p^2/2m | \varphi_a \rangle$$

$$= -\frac{\hbar^2}{2m} \int d\pi \underbrace{\frac{\pi^2}{n^2} \varphi_a^*(\pi)}_{\text{Eq. (30.3)}} \int d\Omega \underbrace{\langle n^2 \varphi_a(\pi) |}_{\text{Eq. (30.3)}} = \frac{\hbar^2}{2ma^2} = \left( \frac{a_0}{a} \right)^2 \frac{\hbar^2}{2ma_0^2}$$

$$\text{p/ } l=0 \quad -\frac{1}{\pi a^4} \int d\pi \underbrace{\left( 2\pi - \frac{\pi^2}{a} \right)}_{\frac{a^2}{4}} e^{-2\pi/a} \quad \text{liii} \quad \frac{e^2}{2a_0}$$

Definição:  $Z' = a_0/a$ ;  $a_0 = \hbar^2/m e^2$ : raio de Bohr

$$\hookrightarrow \langle \varphi_a | T_1 | \varphi_a \rangle = Z'^2 \frac{e^2}{2a_0} = Z'^2 E_H \quad (93.1)$$

$$(ii) \langle \varphi_a | -Ze^2/n | \varphi_a \rangle = -\frac{Ze^2}{\pi a^3} \int dn n^2 \frac{1}{n} e^{-2n/a} \int d\Omega$$

$a^2/a$        $d\Omega$

$$= -\frac{Ze^2}{a} = -2Z \left(\frac{a_0}{a}\right) \frac{e^2}{2a_0} = -2ZZ' E_H \quad (93.2)$$

$$(iii) \langle \Phi_a | \sqrt{z^2} | \Phi_a \rangle = \frac{5}{8} \frac{e^2}{a} = \frac{5}{4} \left(\frac{a_0}{a}\right) \frac{e^2}{2a_0} = \frac{5}{4} Z' E_H \quad (93.3)$$

Eq. (93.5)

Pessa forma,

$$E[\Phi_a] = E(a) = E(z') = \left(2Z'^2 - 4zz' + \frac{5}{4}z'\right) E_H \quad (93.4)$$

$$\hookrightarrow \frac{dE}{dz'} = 0 : \langle z' - 4z + \frac{5}{4} \rangle = 0 \rightarrow z' = z - \frac{5}{16}$$

$$\hookrightarrow E_{VAR} = E(z' = z - \frac{5}{16}) = -2(z - \frac{5}{16})^2 E_H : \text{energia fundamental}$$

(93.5)

Obs. 3: Eq. (93.5) similar à energia do estado fundamental não-perturbado (10.2) :  $E^0 = -2Z^2 E_H$

↪ interpretação (93.4) : elétrons se movem sob ação potencial nuclear, carga efetiva

$$(z - \frac{5}{16})e$$

↑ blindagem!

Obs. 2: Eq. (11.1):  $E_{\text{pert}} = -2z^2 E_H + \frac{5}{4} z E_H$ : 1º ordem

$$\hookrightarrow E_{\text{var}} - E_{\text{pert}} = -2z E_H < 0 \rightarrow E_{\text{var}} < E_{\text{pert}}.$$

De fato, temos que (veja tabela 16.3, Messich)

$$E_{\text{exp}} < E_{\text{var}} < E_{\text{pert}} !$$

Obs.: veja Sec. 18.5, Messich pt discussão sobre uso metódico variacional no estudo de estados excitados.

# Approximation methods

①

1. WKB
2. Time-independent perturbation theory
3. Variational method
4. Time-dependent perturbation theory

1. WKB (Wentzel, Kramers, Brillouin)

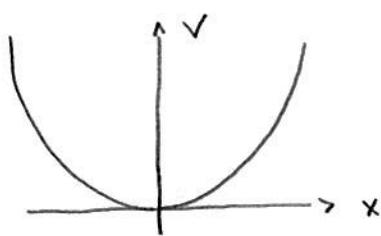
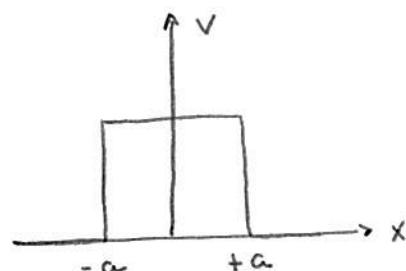
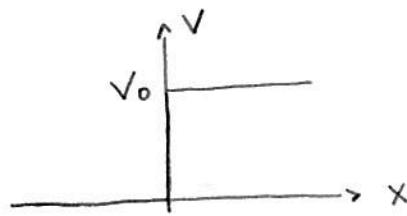
Ref.: chap. 7, Münzbacher

chap. 2, Sakurai

Consider the (1-D) Schrödinger equation

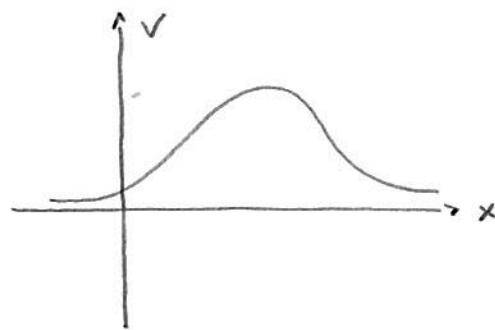
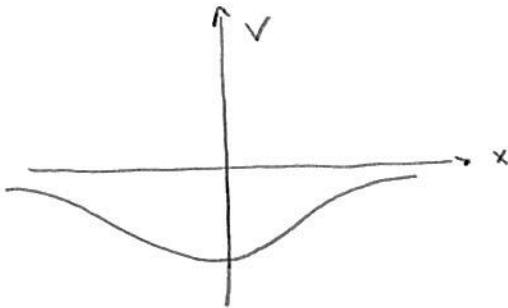
$$\frac{d^2\psi}{dx^2} + \frac{2m}{\hbar^2} (E - V) \psi = 0 \quad (1.1)$$

So far: (exact) solution for sectionally constant potentials,  
harmonic oscillator



We want to consider:

(2)



We will focus on  $V = V(x)$  that "slowly varies" with  $x$  (\*)

Idea WKB: to determine approximated solutions  
for Eq. (1.1) under the assumption (\*).

Recall:

$$\text{if } V = cte \rightarrow \psi(x) = e^{\pm i k x} ; \quad k = c/cte$$

$$\text{since } V = V(x) \xrightarrow[\text{ansatz}]{\quad} \psi(x) = e^{iu(x)} \quad (2.1)$$

Let's determine  $u = u(x)$ !

Defining:

$$k(x) = \left( \frac{2m}{\hbar^2} (E - V(x)) \right)^{1/2}, \quad E > V(x)$$

$$k(x) = -i \left( \frac{2m}{\hbar^2} (V(x) - E) \right)^{1/2} = -i \bar{k}(x), \quad E < V(x)$$

$$\hookrightarrow \text{Eq. (1.1)} : \quad \frac{d^2\psi}{dx^2} + k^2(x) \psi(x) = 0 \quad (2.2)$$

(2.1) in (2.2) (excercise) :

(3)

$$; \frac{d^2 u}{dx^2} - \left( \frac{du}{dx} \right)^2 + \kappa^2(x) = 0 \quad (3.1)$$

note: (3.1) is equivalent to (2.2), but

(2.2) is a linear differential eq. while (3.1) is a nonlinear one.

idea: use nonlinearity of (3.1) and solve it within an iteration procedure.

If  $\nu = \text{cte} \longrightarrow u(x) = \nu = \text{cte} \longrightarrow \frac{d^2 u}{dx^2} = 0$

if  $\nu = \nu(x)$  slowly varies with  $x \xrightarrow[\substack{\text{we} \\ \text{expect}}]{}$   $|u''|^2 \ll (\omega)^2$

$\hookrightarrow (3.1) : (\omega)^2 \approx \kappa^2(x)$

$$\hookrightarrow u_0(x) = \pm \int^x dx' \kappa(x') + C_0 : \text{Zero-order approx.} \quad (3.2)$$

for  $u = u(x)$

First order approximation,

$$\text{Eq. (3.1)} : \left( \frac{du}{dx} \right)^2 = \kappa^2(x) + i \frac{d^2 u}{dx^2}$$

$$\hookrightarrow (\omega_1)^2 = \kappa^2 + i \omega_0$$

(4)

solution:

$$u_1(x) = \pm \int_{-\infty}^x dx' \left( K^2(x') + i u''_0(x') \right)^{1/2} + C_1 \quad (4.1)$$

$$= \pm \int_{-\infty}^x dx' \left( K^2(x') \pm i K'(x') \right)^{1/2} + C_1$$

•  $(n+1)$ -th order approximation,

$$u_{n+1}(x) = \pm \int_{-\infty}^x dx' \left( K^2(x') + i u''_n(x') \right)^{1/2} + C_{n+1}$$

note: the iteration procedure  $\rightarrow u(x)$  if  $u_1(x) \approx u_0(x)$  or

$$|K'(x)| \ll |K^2(x)| \quad (4.2)$$

condition (4.2)

$$\text{L} \rightarrow \quad u_1(x) = \pm \int_{-\infty}^x dx' K(x') \underbrace{\left( 1 \pm i \frac{K'}{K^2} \right)^{1/2}}_{\approx 1 \pm \frac{i}{2} \frac{K'}{K^2}} + C_1$$

$$\approx \pm \int_{-\infty}^x dx' \left[ K(x') \pm \frac{i}{2} \frac{K'}{K^2} \right] + C_1$$

$$= + \frac{i}{2} \ln K(x) \pm \int_{-\infty}^x dx' K(x') + C_1$$

$\downarrow$  to be included  
in the  
normal. etc

$$\text{L} \rightarrow \psi(x) = e^{i u_1(x)} = \frac{1}{\sqrt{K(x)}} \exp \left( \pm i \int_x^{\infty} dx' K(x') \right) \quad (5.1)$$

Eq. (5.1) : wavefunction within the WKB approximation!

More about condition (4.2):

consider  $E > V(x)$ ,

one can define an effective wavelength  $\lambda(x) = \frac{2\pi}{K(x)}$

L,  $\lambda' = -\frac{2\pi}{K^2} K' \rightarrow |\lambda'| \sim \frac{|K'|}{|K^2|} \ll 1 : \lambda \text{ slowly varies with } x !$

$$K^2 \sim (E - V) \rightarrow 2KK' \sim -\frac{dV}{dx}$$

$$\text{L} \rightarrow \frac{1}{K} \left| \frac{dV}{dx} \right| \sim \frac{|K'|}{K^2} K^2 \ll K^2 = E_{\text{KINETIC}}$$

L,  $\left| \frac{dV}{d(x/\lambda)} \right| \ll E_{\text{KINETIC}} : \begin{array}{l} \text{change } V \text{ over one } \lambda \\ \text{is much smaller than } E_{\text{KINETIC}}. \end{array}$

Note:

- if  $E > V(x)$  : classically accessible region:

solution (5.1) : two independent propagating waves

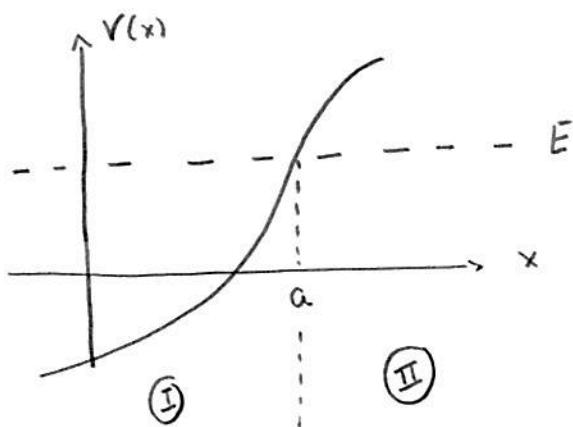
- if  $E < V(x)$  : nonclassical region:

rewriting eq. (5.1):

$$\psi(x) = \frac{1}{\sqrt{K(x)}} \exp \left( \pm \int^x dx' \bar{K}(x') \right) \quad (6.1)$$

Recall: form solutions (5.1) for potentials sectionally cont.

So far:



Region I : solution = (5.1), in general

$$\psi(x) \approx \frac{A}{\sqrt{K(x)}} \exp \left( +i \int_a^x dx' K(x') \right) + \frac{B}{\sqrt{K(x)}} \exp \left( -i \int_a^x dx' K(x') \right) \quad (6.2)$$

Region II : solution = (6.1), in general

$$\psi(x) \approx \frac{C}{\sqrt{\bar{K}(x)}} \exp \left( - \int_a^x dx' \bar{K}(x') \right) + \frac{D}{\sqrt{\bar{K}(x)}} \exp \left( \int_a^x dx' \bar{K}(x') \right) \quad (6.3)$$

\* In fact, (6.2) and (6.3) are OK only if  $|x-a| \gg 1$ ,  
since close to the classical turning point  $x=a$ :  
 $E \approx V(x) \rightarrow K(x) \approx 0 \rightarrow$  condition (4.2) breaks down!

(7)

• Recall sectionally cte potentials in 3-D:

we require:  $\psi(x)$  and  $\psi'(x)$  continuous at potentials discontinuities  $\rightarrow$  connection solutions regions I and II.

• Within WKB (see Sec. 7.2, Menzbacher, for details),

idea: analysis eq. (1.1) with  $V(x)$  linearized around  $x=a$ .

assumption:  $V(x) - E \approx g(x-a)$

$$(1.1) : -\frac{\hbar}{2m} \frac{d^2\psi}{dx^2} + g(x-a)\psi = 0 \quad (7.1)$$

defining:  $Z = \left(2mg/\hbar^2\right)^{1/3}(x-a)$

$$(7.1) \longrightarrow \frac{d^2\psi}{dz^2} - Z\psi = 0$$

solution: Airy functions  $A_i(z)$  and  $B_i(z)$

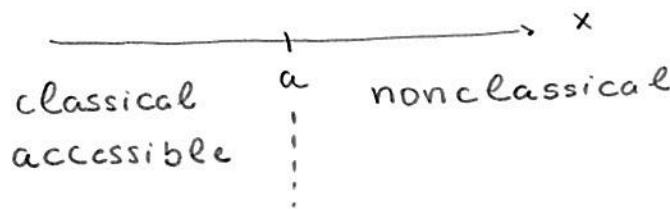
\* Analysis asymptotic behaviour ( $|z| \gg 1$ )  $A_i(z)$  and  $B_i(z)$

$\hookrightarrow$  connection formulas:

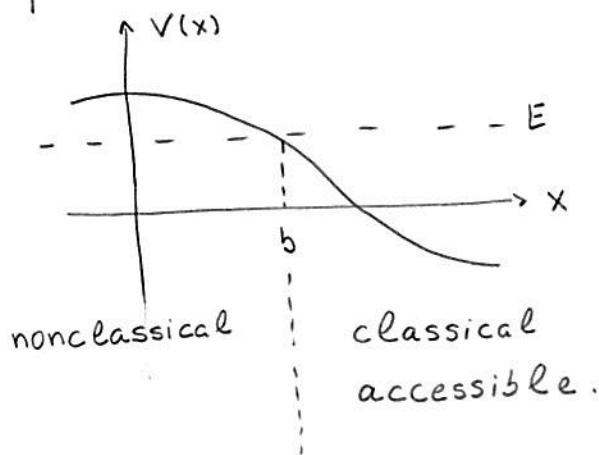
$$\frac{2A}{\sqrt{K(x)}} \cos \left( \int_x^a K(x') dx' - \frac{\pi i}{4} \right) - \frac{B}{\sqrt{K(x)}} \sin \left( \int_x^a K(x') dx' - \frac{\pi i}{4} \right)$$

$$\hookleftarrow \frac{A}{\sqrt{\bar{K}(x)}} \exp \left( - \int_a^x \bar{K}(x') dx' \right) + \frac{B}{\sqrt{\bar{K}(x)}} \exp \left( \int_a^x \bar{K}(x') dx' \right) \quad (7.2)$$

Eq. (7.2): - note limits integrals and  
coefficients sin, cos, and exp functions  
turning point as in Fig on pg. 6:



It's useful to write (7.2) for the case

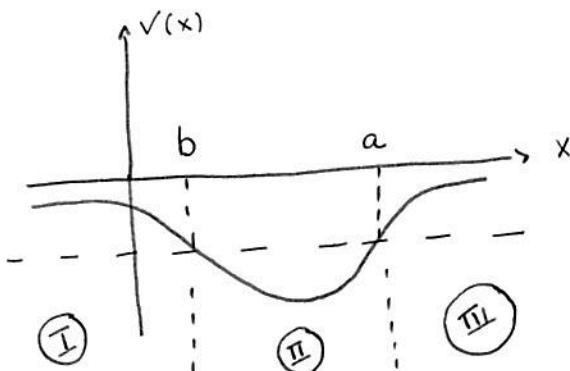


$$\frac{A}{\sqrt{\kappa(x)}} \exp \left( - \int_x^b \bar{\kappa}(x') dx' \right) + \frac{B}{\sqrt{\kappa(x)}} \exp \left( + \int_x^b \bar{\kappa}(x') dx' \right) \longleftrightarrow$$

$$\frac{2A}{\sqrt{\kappa(x)}} \cos \left( \int_b^x \kappa(x') dx' - \frac{\pi}{4} \right) - \frac{B}{\sqrt{\kappa(x)}} \sin \left( \int_b^x \kappa(x') dx' - \frac{\pi}{4} \right) \quad (8.1)$$

Ex. 1: Bound states  $V = V(x)$

consider particle mass  $m$ , energy  $E$ , under  $V = V(x)$



idea: to determine energy bound states  
within the WKB approximation.

(9)

solution (1.1), region I:

$$\psi_I(x) \approx \frac{1}{\sqrt{K(x)}} \exp \left( - \int_x^b \bar{K}(x') dx' \right) ; \quad x < b \quad (9.1)$$

using the connection formula (8.1):

$$\psi_{II}(x) \approx \frac{2}{\sqrt{K(x)}} \cos \left( \int_b^x K(x') dx' - \frac{\pi}{4} \right) ; \quad b < x < a \quad (9.2)$$

In order to determine  $\psi_{III}(x)$ , it's necessary to rewrite (9.2). It's possible to show that (exercise):

$$\begin{aligned} \psi_{II}(x) &\approx \frac{-2}{\sqrt{K(x)}} \cos \left( \int_b^a K(x') dx' \right) \sin \left( \int_x^a K(x') dx' - \frac{\pi}{4} \right) \\ &+ \frac{2}{\sqrt{K(x)}} \sin \left( \int_b^a K(x') dx' \right) \cos \left( \int_x^a K(x') dx' - \frac{\pi}{4} \right) \end{aligned} \quad (9.2)$$

Since

$$\psi_{III}(x) \sim \exp \left( - \int_a^x \bar{K}(x') dx' \right)$$

connection formula (7.2)  $\rightarrow$  only second term (9.2)  $\neq 0$ !

$$\text{L}, \cos \left( \int_b^a K(x') dx' \right) = 0$$

$$\text{L}, \int_b^a dx \sqrt{V(x)} = \int_b^a dx \sqrt{\frac{2m}{\hbar^2} (E - V(x))} = (n + \frac{1}{2}) \pi, \\ n = 0, 1, 2, \dots$$

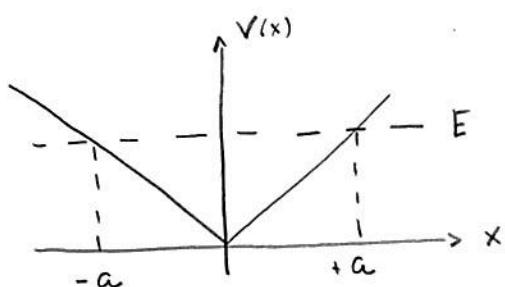
(10)

(10.1)

defining  $p(x) = \pm \hbar \sqrt{V(x)}$ ,

$$\text{L}, \oint p(x) dx = 2\hbar \int_b^a V(x) dx = (n + \frac{1}{2}) \hbar : \begin{array}{l} \text{apart from } \frac{1}{2} \text{ factor,} \\ \text{Sommerfeld quantization} \\ \text{rule} \\ (\text{old quantum theory}) \end{array}$$

Let's consider, in particular,  $V(x) = g|x|$ ,  $g > 0$



classical turning points

$$E = V(a) = ga \rightarrow a = \frac{E}{g}$$

(10.1) :

$$2 \int_0^a dx \sqrt{\frac{2m}{\hbar^2} (E - gx)} = \sqrt{\frac{8mE^3}{\hbar^2 g}} \underbrace{\int_0^1 dy \sqrt{1-y}}_{2/3} = (n + \frac{1}{2}) \pi$$

excise !

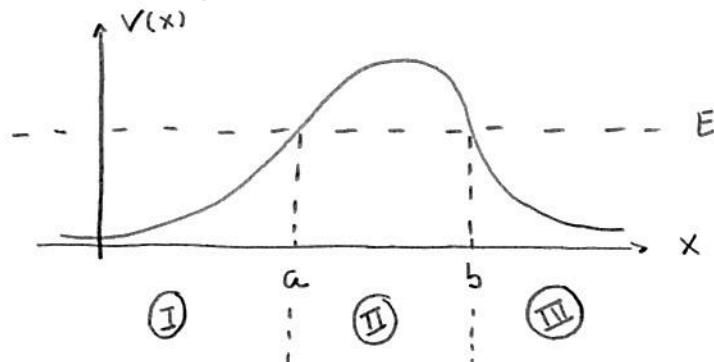
$$\text{L}, E_{WKB}^3 = \frac{g\pi^2}{32} \cdot \frac{\hbar^2 g^2}{m} (n + \frac{1}{2})^2$$

Obs.: See Sec. 7.3, Merzbacher for comparison between  
WKB and exact results.

Ex. 2: Transmission through a barrier,

(11)

consider particle mass  $m$  under potential



solution (1.1) within the WKB approximation

$$\psi_I(x) = \frac{A}{\sqrt{\kappa(x)}} \exp \left( i \int_a^x \kappa(x') dx' \right) + \frac{B}{\sqrt{\kappa(x)}} \exp \left( -i \int_a^x \kappa(x') dx' \right)$$

$$\psi_{II}(x) = \frac{C}{\sqrt{\bar{\kappa}(x)}} \exp \left( -i \int_a^x \bar{\kappa}(x') dx' \right) + \frac{D}{\sqrt{\bar{\kappa}(x)}} \exp \left( i \int_a^x \bar{\kappa}(x') dx' \right)$$

$$\psi_{III}(x) = \frac{F}{\sqrt{\kappa(x)}} \exp \left( i \int_b^x \kappa(x') dx' \right) + \frac{G}{\sqrt{\kappa(x)}} \exp \left( -i \int_b^x \kappa(x') dx' \right)$$

Using the connection formulas, it's possible to show that  
(exercise):

$$\begin{pmatrix} A \\ B \end{pmatrix} = M \begin{pmatrix} F \\ G \end{pmatrix}$$

where

$$a = 2\theta + \frac{i}{2\theta} ; \quad b = 2\theta - \frac{i}{2\theta}$$

$$M = \frac{1}{2} \begin{pmatrix} a & ib \\ -ib & a \end{pmatrix}$$

$$\theta = \exp \left( \int_a^b \bar{\kappa}(x) dx \right)$$

$\theta = \theta(E)$ : measurement height and width barrier!

Recall sectionally the potentials, transmission coef.:

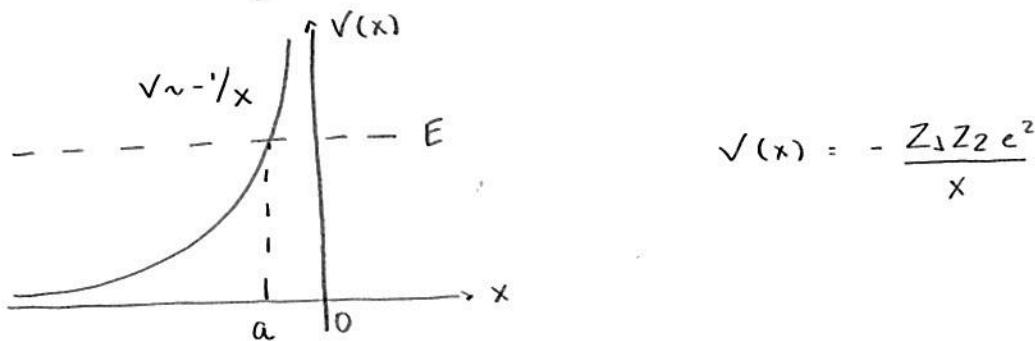
$$T = \frac{|\psi_{\text{TRANS}} \sqrt{K_{\text{TRANS}}}|^2}{|\psi_{\text{INC}} \sqrt{K_{\text{INC}}}|^2} = \frac{|F|^2}{|A|^2}$$

if  $G=0$  (no particle incident from the right),

$$T = \frac{1}{|M_{11}|^2} = \frac{4}{(2\theta + \frac{1}{2}\theta)^2} \xrightarrow{\theta \gg 1} T \approx \frac{1}{\theta^2} = \exp\left(-2 \int_a^b \bar{V}(x) dx\right)$$

(high and  
broad barrier)

- Let's calculate  $T$  for a particle charge  $Z_1 e$  to penetrate nucleus charge  $Z_2 e$ : 1-1 repulsive Coulomb barrier



classical turning point :  $\sqrt{V(a)} = E = -\frac{Z_1 Z_2 e^2}{a} \rightarrow Ea = -Z_1 Z_2 e^2$

$$\therefore V(x) = Ea/x$$

note!

$$\int_a^b \bar{V}(x) dx = \int_a^{b=0} dx \sqrt{\frac{2mE}{\hbar^2} \left(\frac{a}{x} - 1\right)} = (-a) \sqrt{\frac{2mE}{\hbar^2}} \int_0^1 \sqrt{\frac{1}{u} - 1} du$$

$\pi/2$

$$= \frac{Z_1 Z_2 e^2 \pi}{\hbar v}$$

exercise!

where  $v = \sqrt{\frac{2E}{m}}$  : classical particle velocity at  $x \rightarrow +\infty$ .

(13)

$$\therefore T \approx \frac{1}{\theta^2} = \exp \left( -2\pi \frac{Z_1 Z_2 e^2}{\hbar v} \right) : \underline{\text{penetrability.}}$$

Application: alpha decay.