
Evidence of BRST-Symmetry Breaking in Lattice Minimal Landau Gauge

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Abstract

By evaluating the so-called **Bose-ghost propagator**, we present the first **numerical evidence** of **BRST-symmetry breaking** in **minimal Landau gauge**, i.e. due to the restriction of the functional integration to the **first Gribov region** in the **Gribov-Zwanziger approach**. We find that our data are well described by a **simple fitting function**, which can be related to a **massive gluon propagator** in combination with an **infrared-free** (Faddeev-Popov) **ghost propagator**. As a consequence, the Bose-ghost propagator, which has been proposed as a **carrier of the confining force** in Yang-Mills theories in minimal Landau gauge, presents a $1/p^4$ **singularity** in the **infrared limit**.

[A.C., D.Dudal, T.Mendes & N.Vandersickel, Phys.Rev. D 90 (2014)]

Color Confinement

Millennium Prize Problems by the Clay Mathematics Institute (US\$1,000,000): **Yang-Mills Existence and Mass Gap**: Prove that for any compact simple gauge group G , a non-trivial quantum Yang-Mills theory exists on \mathbb{R}^4 and has a **mass gap** $\Delta > 0$.

Lattice simulations can **solve QCD** exactly (in discretized Euclidean space-time), allowing **quantitative predictions for the physics of hadrons**. But they can **also** help reveal the principles behind a central phenomenon of QCD: **confinement**. In fact, we can try to **understand the QCD vacuum** (the “**battle for nonperturbative QCD**”, E.V. Shuryak, *The QCD vacuum, hadrons and the superdense matter*) by using **inputs** from lattice simulations and by **testing numerically** the approximations introduced in analytic approaches (**Dyson-Schwinger equations**, Bethe-Salpeter equations, Pomeron dynamics, QCD-inspired models, etc).

Pathways to Confinement

- How does **confinement** come about?
- Theories of quark confinement include:
 - dual superconductivity** (electric flux tube connecting magnetic monopoles), **condensation of center vortices**, etc.
- Proposal by Mandelstam (1979) linking linear potential to **infrared behavior of gluon propagator** as $1/p^4$.
- **Green's functions** carry all information of a QFT's physical and mathematical structure.
- Confinement given by behavior at large distances (small momenta) \Rightarrow **nonperturbative** study of **IR** propagators and vertices \longrightarrow it requires **very large lattice volumes**.
- **Gribov-Zwanziger** confinement scenario based on **suppressed gluon propagator** and **enhanced ghost propagator** in the IR.

Quantization and Gribov Copies

The **invariance** of the Lagrangian under **local gauge transformations** implies that, given a configuration $\{A(x), \psi_f(x)\}$, there are infinitely many gauge-equivalent configurations $\{A^g(x), \psi_f^g(x)\}$ (**gauge orbits**). In the **path integral** approach we integrate over all possible configurations

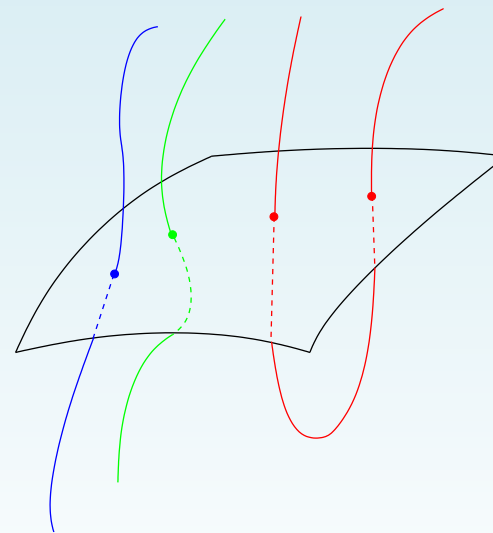
$$Z = \int DA \exp \left[- \int d^4x \mathcal{L}(x) \right]$$

There is an **infinite factor** coming from gauge invariance: $\int DA = \int D\bar{A}^g Dg$ and $\int Dg = \infty$.

To solve this problem we can **choose a representative** \bar{A} on each gauge orbit (**gauge fixing**) using a gauge-fixing condition $f(\bar{A}) = 0$. The **change of variable** $A \rightarrow \bar{A}$ introduces a Jacobian in the measure.

Question: does the gauge-fixing condition select **one and only one representative** on each **gauge orbit**?

Answer: in general this is not true (**Gribov copies**).



Lattice Landau Gauge

In the continuum: $\partial_\mu \mathcal{A}_\mu(x) = 0$. On the lattice the Landau gauge is imposed by **minimizing the functional**

$$S[U; \omega] = - \sum_{x, \mu} \text{Tr} U_\mu^\omega(x) ,$$

where $\omega(x) \in SU(N)$ and $U_\mu^\omega(x) = \omega(x) U_\mu(x) \omega^\dagger(x + a e_\mu)$ is the **lattice gauge transformation**.

By considering the relations $U_\mu(x) = e^{i a g_0 A_\mu(x)}$ and $\omega(x) = e^{i \tau \theta(x)}$, we can **expand** $S[U; \omega]$ (for small τ):

$$\begin{aligned} S[U; \omega] &= S[U; \mathbb{1}] + \tau S' [U; \mathbb{1}](b, x) \theta^b(x) \\ &\quad + \frac{\tau^2}{2} \theta^b(x) S'' [U; \mathbb{1}](b, x; c, y) \theta^c(y) + \dots \end{aligned}$$

where $S'' [U; \mathbb{1}](b, x; c, y) = \mathcal{M}(b, x; c, y)[A]$ is a lattice discretization of the **Faddeev-Popov** operator $-D \cdot \partial$.

Constraining the Functional Integral

At a **stationary point** $S'[U; \mathbb{1}](b, x) = 0$, one obtains

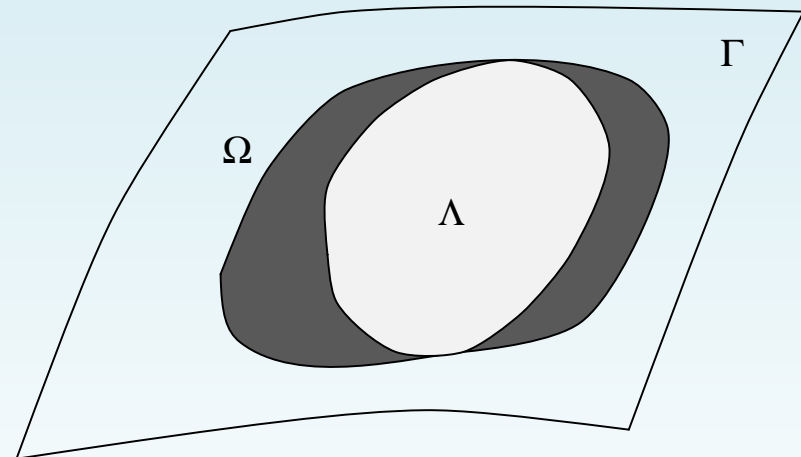
$$\sum_{\mu} A_{\mu}^b(x) - A_{\mu}^b(x - a e_{\mu}) = 0,$$

which is a **discretized version** of the (continuum) Landau gauge condition. At a **local minimum** one also has $\mathcal{M}(b, x; c, y)[A] \geq 0$. This defines the **first Gribov region** (V.N. Gribov, 1978)

$$\Omega \equiv \{U : \partial \cdot A = 0, \mathcal{M} \geq 0\} \equiv \text{all local minima of } S[U; \omega].$$

All **gauge orbits** intersect Ω (G. Dell'Antonio & D. Zwanziger, 1991) but the gauge fixing is not unique (**Gribov copies**).

Absolute minima of $S[U; \omega]$ define the **fundamental modular region** Λ , free of Gribov copies in its interior. (Finding the absolute minimum is a **spin-glass problem**.)



GZ Action and BRST Breaking

Analytically the restriction to the first Gribov region Ω can be achieved by adding a nonlocal term S_h , the horizon function (D. Zwanziger, 1993), to the usual Landau gauge-fixed Yang-Mills action:

$$S_{GZ} = S_{YM} + S_{gf} + \gamma^4 S_h ,$$

where the Gribov (massive) parameter γ is dynamically determined (in a self-consistent way) through the so-called horizon condition. The GZ action can be localized, using auxiliary fields (organized in BRST doublets), and can be written as

$$S_{GZ} = S_{YM} + S_{gf} + S_{aux} + S_\gamma .$$

Under the usual nilpotent BRST variation s the localized GZ theory is not BRST-invariant. Indeed,

$$s(S_{YM} + S_{gf} + S_{aux}) = 0 \quad \text{and} \quad s S_\gamma \propto \gamma^2 \neq 0$$

but (M.A.L. Capri et al., 2015) $s_{\gamma^2} S_{GZ} = (s + \delta_{\gamma^2}) S_{GZ} = 0$ (!!)

The Bose-ghost Propagator

Using the auxiliary fields $\omega_\mu^{ab}(x)$, $\bar{\omega}_\mu^{ab}(x)$, $\phi_\mu^{ab}(x)$, $\bar{\phi}_\mu^{ab}(x)$ one can consider the (BRST-exact) correlation function

$$\begin{aligned} Q_{\mu\nu}^{abcd}(x, y) &= \langle s(\phi_\mu^{ab}(x) \bar{\omega}_\nu^{cd}(y)) \rangle \\ &= \langle \omega_\mu^{ab}(x) \bar{\omega}_\nu^{cd}(y) + \phi_\mu^{ab}(x) \bar{\phi}_\nu^{cd}(y) \rangle, \end{aligned}$$

which (at tree level) is given by

$$Q_{\mu\nu}^{abcd}(k, k') = \gamma^4 \frac{(2\pi)^4 \delta^{(4)}(k + k') g_0^2 f^{abe} f^{cde} P_{\mu\nu}(k)}{k^2 (k^4 + 2g_0^2 N_c \gamma^4)},$$

where $P_{\mu\nu}(k)$ is the usual transverse projector. [Extended to one loop by J.A. Gracey, JHEP 1002 (2010).]

The $Q(k^2)$ Propagator

We want to evaluate the scalar function $Q(k^2)$, defined through the relation

$$\gamma^{-4} Q_{\mu\mu}^{abdb}(k) \equiv \delta^{ad} N_c P_{\mu\mu}(k) Q(k^2) .$$

On the **lattice** one does not have direct access to the **auxiliary fields** $(\bar{\phi}_\mu^{ac}, \phi_\mu^{ac})$ and $(\bar{\omega}_\mu^{ac}, \omega_\mu^{ac})$. Nevertheless, since these fields enter the **continuum action** at most **quadratically**, we can integrate them out exactly. More precisely, one can

1. add **sources** to the (localized) GZ action,
2. explicitly **integrate over** the four auxiliary fields,
3. take the usual **functional derivatives** with respect to the sources, in order to obtain the chosen propagator.

The $Q(k^2)$ Propagator on the Lattice

This gives

$$\gamma^{-4} Q_{\mu\nu}^{abcd}(x-y) = \left\langle R_{\mu}^{ab}(x) R_{\nu}^{cd}(y) \right\rangle,$$

where

$$R_{\mu}^{ab}(x) = \int d^4z (\mathcal{M}^{-1})^{ae}(x,z) B_{\mu}^{eb}(z)$$

and $B_{\mu}^{eb}(z)$ is given by the **covariant derivative** $D_{\mu}^{eb}(z)$. Alternatively, by neglecting at the classical level the total derivatives $\partial_{\mu}(\phi_{\mu}^{aa} + \bar{\phi}_{\mu}^{aa})$ in the action S_{γ} , we find

$$B_{\mu}^{eb}(x) = g_0 f^{ecb} A_{\mu}^c(x).$$

The above expressions can be easily evaluated on the **lattice**.

Numerical Simulations

We evaluate the Bose-ghost propagator $Q(k^2)$ — modulo the global factor γ^4 — using Monte Carlo simulations in the **four-dimensional case** for the **SU(2) gauge group**.

In order to check for **discretization effects**, we considered four different values of the **lattice coupling** β , corresponding to a **lattice spacing** a of about $0.210 fm$, $0.140 fm$, $0.105 fm$ and $0.0841 fm$. The **lattice volumes** V considered have physical volumes ranging from about $(3.366 fm)^4$ to $(13.44 fm)^4$.

The $B_{\mu}^{eb}(x)$ Vectors on the Lattice

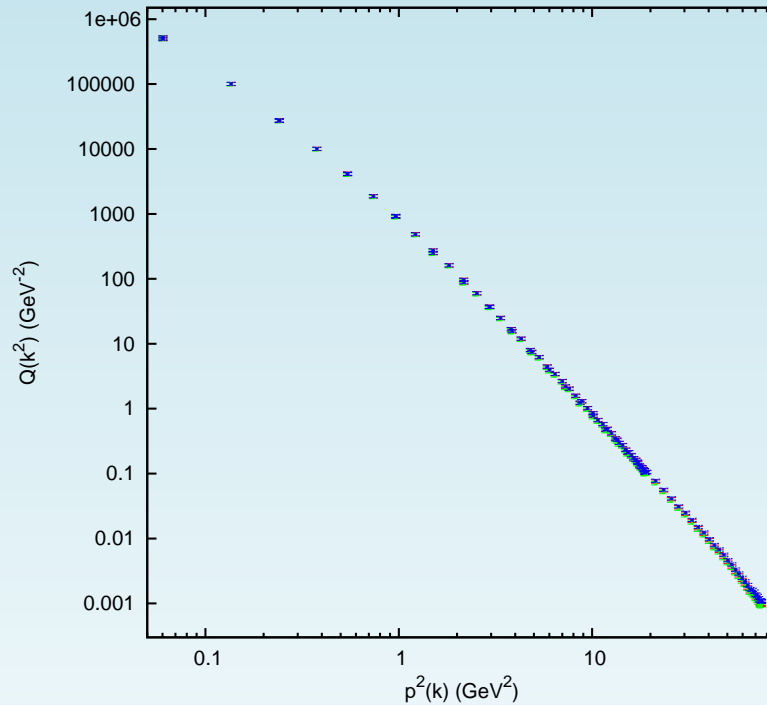
We consider three different lattice $B_{\mu}^{eb}(x)$ vectors:

$$B_{\mu}^{bc}(x) = \delta^{bc} \frac{\text{Tr}}{2} [U_{\mu}(x) - U_{\mu}(x - e_{\mu})] \\ + f^{cdb} [A_{\mu}^d(x) + A_{\mu}^d(x - e_{\mu})],$$

which is a **lattice discretization** of the **covariant derivative**, the above equation **without** the **diagonal part** in color space (i.e. only the **second line**), and

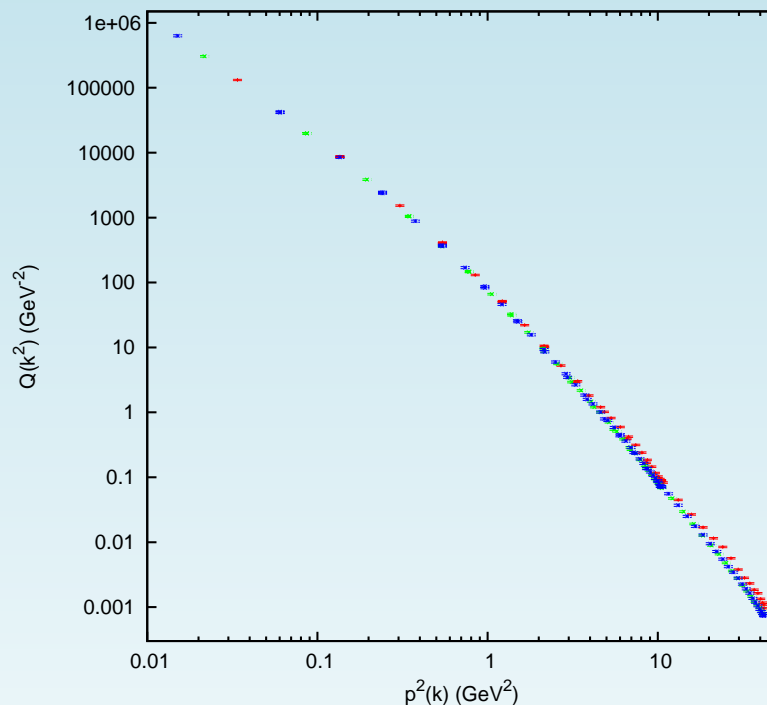
$$B_{\mu}^{bc}(x) = f^{bdc} A_{\mu}^d(x).$$

Different $B_{\mu}^{eb}(x)$ Vectors



Plot of $Q(k^2)$ (lattice volume $V = 96^4$ at $\beta \approx 2.44$) as a function of the improved momentum squared $p^2(k)$ for the first (red, +), second (green, ×) and third (blue, *) different discretization of the sources $B_{\mu}^{bc}(x)$. For the latter case the data are multiplied by a factor 4. Note the logarithmic scale on both axes.

Finite-Volume Effects

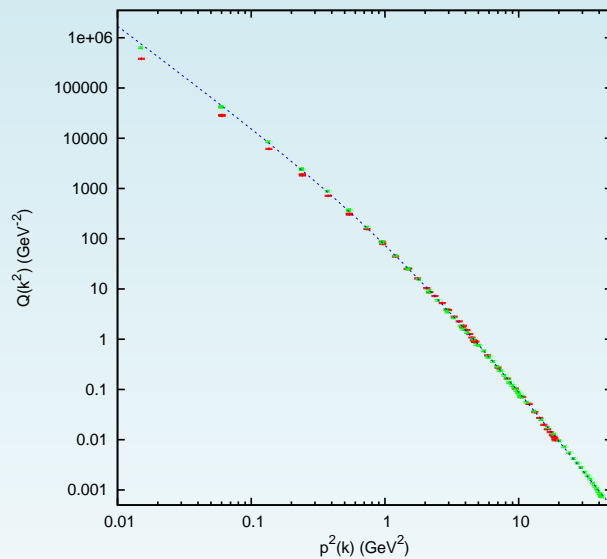


Plot of $Q(k^2)$ (at $\beta \approx 2.35$) as a function of the improved momentum squared $p^2(k)$ for the lattice volumes $V = 48^4$ (red, +), 60^4 (green, \times) and 72^4 (blue, *), using the third discretization formula for the sources $B_\mu^{bc}(x)$. Note the logarithmic scale on both axes.

Scaling and Fit (I)

Plot of $Q(k^2)$ at $\beta = 2.2$ and lattice volume $V = 48^4$ (+) matched with data at $\beta \approx 2.35$ and $V = 72^4$ (x). We also show the fitting function

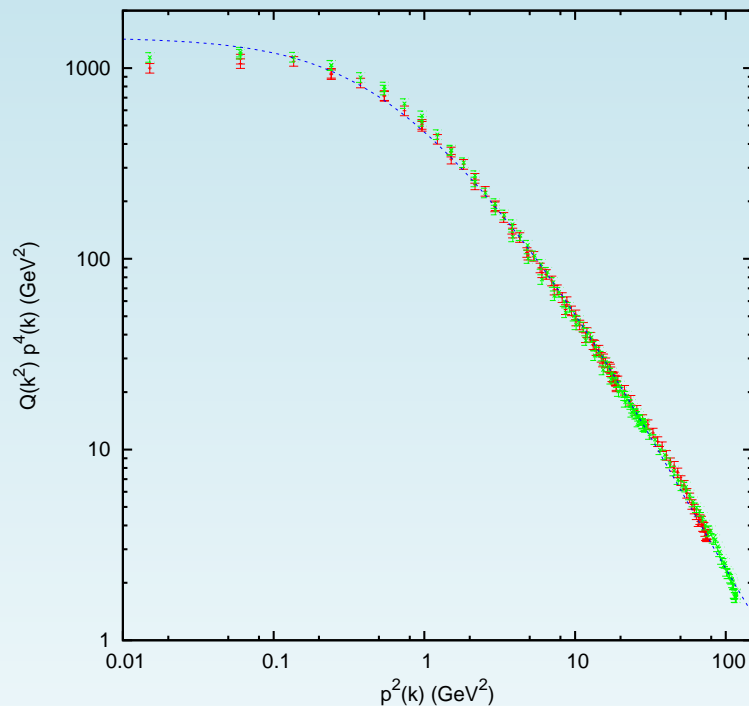
$$f(k^2) = \frac{c}{k^4} \frac{k^2 + s}{k^4 + u^2 k^2 + t^2} \sim G^2(k^2) D(k^2)$$



with $t = 3.2(0.3)(GeV^2)$,
 $u = 3.6(0.4)(GeV)$,
 $s = 49(14)(GeV^2)$ and
 $c = 37(4)$.

Note: $Q(k^2) \sim 1/k^4$ in the **IR** limit and $\sim 1/k^6$ in the **UV** limit.

Scaling and Fit (II)



Plot of $p^4(k) Q(k^2)$ at $\beta \approx 2.44$ and lattice volume $V = 96^4$ (+) matched with data at $\beta \approx 2.51$ and $V = 120^4$ (x). We also show the fitting function

$$f(k^2) = c \frac{k^2 + s}{k^4 + u^2 k^2 + t^2}$$

with $t = 3.3(0.2)(GeV^2)$,
 $u = 4.8(0.3)(GeV)$,
 $s = 121(21)(GeV^2)$ and
 $c = 132(11)$. Note the logarithmic scale on both axes.

Poles of $Q(k^2)$

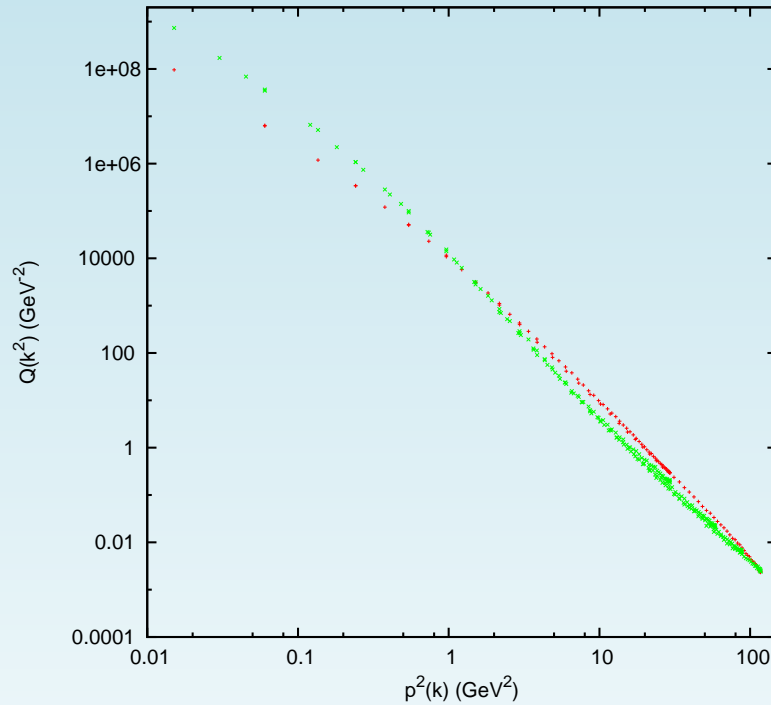
We can write the fitting function as

$$f(p^2) = \frac{c}{p^4} \left(\frac{\alpha_+}{p^2 + \omega_+^2} + \frac{\alpha_-}{p^2 + \omega_-^2} \right)$$

and the poles can be **complex-conjugate**, i.e. $\alpha_{\pm} = 1/2 \pm ib/2$ and $\omega_{\pm}^2 = v \pm iw$, or they can be **real**, i.e. $\alpha_{\pm}, \omega_{\pm}^2 = v \pm w \in \mathbb{R}$.

$V = N^4$	β	$v (GeV^2)$	$w (GeV^2)$	b or α_+	type
48^4	β_0	1.1(0.3)	2.0(0.2)	4.8(0.1)	\mathbb{C}
64^4	β_0	1.0(0.3)	1.9(0.2)	4.0(0.1)	\mathbb{C}
72^4	β_1	6.5(1.4)	5.6(0.2)	4.27(0.03)	\mathbb{R}
96^4	β_2	7.6(0.8)	6.99(0.04)	4.091(0.007)	\mathbb{R}
120^4	β_3	11.5(1.4)	11.04(0.06)	5.460(0.009)	\mathbb{R}

$Q(k^2)$ vs. $g_0^2 G^2(k^2) D(k^2)$



Plot of $Q(k^2)$ (red, +) and of the product $g_0^2 G^2(p^2) D(p^2)$ (green, ×) as a function of the improved momentum squared $p^2(k)$ for the lattice volume $V = 120^4$ at $\beta \approx 2.51$. The data of $Q(k^2)$ have been rescaled in order to agree with the data of the product $g_0^2 G^2(p^2) D(p^2)$ at the largest momentum. Note the logarithmic scale on both axes.

Conclusions

To-do list:

- Extend these studies to the $SU(3)$ case.
- Consider also the $2d$ and the $3d$ cases.
- Consider other correlation functions.

Conceptual issue:

- How to evaluate the Gribov parameter γ on the lattice?